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Patent App. Ser. No.: 10/695,979 IBM Docket No.: YOR920030379US1

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2641 Examiner: Not Yet Assigned Applicants: BAKIS et al. **Request to Correct Inventorship Pursuant** Application Serial No.: 10/695,979 to 37 C.F.R. §1.48(a)(1) Filing Date: October 29, 2003 IBM Docket No.: YOR920030379US1 (Attorney Docket No.: I01.101) For: SYSTEMS AND METHODS FOR **EXPRESSIVE TEXT-TO-SPEECH** Buckley, Maschoff & Talwalkar LLC Five Elm Street New Canaan, CT 06840 (203) 972-0006

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8** 

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 1, 2004.

Dated: May 19, 2004

By: \_

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

It has been brought to Applicants' attention that a fifth inventor was inadvertently omitted from the Declaration filed with the above-referenced patent application. This error was a clerical oversight and occurred without deceptive intent by either Applicants or the omitted inventor.

Accordingly, Applicants respectfully request that the record of Inventorship for the above-referenced patent application be corrected in accordance with the corrected Inventorship information provided below and in accordance with the documents submitted herewith, and that a corrected Filing Receipt be issued in due course.

The correct inventors for the above-referenced patent application are: (1) Raimo Bakis, (2) Andrew Aaron, (3) Ellen M. Eide, (4) Thiruvilwamalai V. Raman, and (5) Wael Hamza.

Patent App. Ser. No.: 10/695,979 IBM Docket No.: YOR920030379US1

<u>Patent</u>

Please find attached, in addition to this Request to Correct Inventorship pursuant to 37 C.F.R. §1.48(a)(1), the following items: (1) a signed statement from the inventor to be added, the statement confirming that the omission occurred without deceptive intent on the part of the inventor to be added, pursuant to 37 C.F.R. §1.48(a)(2); (2) a newly executed Declaration signed by the actual inventors, pursuant to 37 C.F.R. §1.48(a)(3); (3) an authorization to charge deposit account no. 50-0510, for the processing fee of \$130.00 as set forth in 37 C.F.R. §1.17(i), in compliance with 37 C.F.R. §1.48(a)(4); and (4) a statement of written consent from the assignee of record, International Business Machines Corporation (accompanied by a copy of the previously submitted Assignment conferring rights to said assignee, for which no confirmation of recorded reel and frame number has yet been received, and the associated return receipt postcard confirming receipt of the Assignment by the United States Patent and Trademark Office), in compliance with 37 C.F.R. §1.48(a)(5).

Respectfully submitted,

May 19, 2004

Date

Carson C.K. Fincham

Reg. No. 54,096

Buckley, Maschoff & Talwalkar LLC

Five Elm Street

New Canaan, CT 06840

(203) 972-4981



Page 1

### Declaration and Power of Attorney For Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEMS AND METHODS FOR EXP	RESSIVE TEXT-TO-	SPEECH			
the specification of which (check one)					
is attached hereto.					
was filed on October 29, 20	03 as United States Ap	plication No. <u>10/695,979</u>			
and was amended on	(if applicable)				
I hereby state that I have reviewed and unction, including the claims, as amended by	lerstand the contents of my amendment referre	the above identified specifical to above.			
I acknowledge the duty to disclose information in accordance with Title 37, Code	ation which is material to of Federal Regulation	to the patentability of this aps, Section 1.56.			
I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or '365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application, having a filing date before that of the application on which priority is claimed:					
Prior Foreign Applications(s)  Priority Not Claimed					
(Number) (Cou	intry) (Date/M	onth/Year Filed)			
(Number) (Cou	intry) (Date/M	onth/Year Filed)			
I hereby claim the benefit under 35 USC §	119(e) of any United St	ates provisional application(s)			

listed below.

IBM Docket No.: YOR920030379US1
Page 2

(Application Serial No.)	(Filing Date)	
(Application Serial No.)	(Filing Date)	

I hereby claim the benefit under 35 USC §120 of any United States Application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States, or PCT International application in the manner provided by the first paragraph of 35 USC §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in 37 CFR §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number).

Richard M. Ludwin (Reg. 33,010), Kevin M. Jordan (Reg. 40,277), Christopher A. Hughes (Reg. 26,914), John E. Hoel (Reg. 26,279), Joseph C. Redmond, Jr. (Reg. 18,753), Douglas W. Cameron (Reg. 31,596), Wan Yee Cheung (Reg. 42,410), Thu Ann Dang (41,544), Timothy M. Farrell (Reg. 37,321), Louis P. Herzberg (Reg. 41,500), Derek S. Jennings (Reg. 41,473), Stephen C. Kaufman (Reg. 29,551), Daniel P. Morris (Reg. 32,053), Alison D. Mortinger (Reg. 39,306), Louis J. Percello (Reg. 33,206), Rafael Perez-Pineiro (Reg. No. 46,041), Robert M. Trepp (Reg. 25,933), and Gail H. Zarick (Reg. 43,303).

Send Correspondence to:

**PTO Customer Number 28062** 

Kurt M. Maschoff

Buckley, Maschoff, Talwalkar & Allison LLC

5 Elm Street

New Canaan, CT 06840

Direct Telephone Calls to Kurt Maschoff at (203) 972-0081.

Raimo Bakis	
Full Name of First Joint Inventor	
A. Pai	5/7/04
Inventor's Signature	Date
28 Winterberry Lane, Briarcliff Manor, NY US 10510	
Residence	
US	
Citizenship	
same as above	
Post Office Address	
Andrew Aaron Full Name of Second Joint Inventor	· · · · · · · · · · · · · · · · · · ·
	-1 (
arly an	5/7/04
Inventor's Signature	Date
11 Grove Lane, Ardsley NY US 10502	
Residence	
US	
Citizenship	
same as above	
Post Office Address	
William M. Elida	
Ellen M. Eide Full Name of Third Joint Inventor	
Mhile	
· · · · · · · · · · · · · · · · · · ·	May 7,2004
Inventor's Signature	Date
241 Babbitt Road, Bedford, NY US 10507	
Residence	
US	
Citizenship	
same as above	
Post Office Address	

Thiruvilwamalai V. Raman	
Full Name of Fourth Joint Inventor	
/ En	-5/12/04
Invertor's Signature	Bate
2715 La Terrace Circle, San Jose, CA US 95123	
Residence 7	
US	
Citizenship	
same as above	400
Post Office Address	
Wael Hamza	
Full Name of Fourth Joint Inventor	
Inventor's Signature	Date
100 mm (	. •
177 White Plains Rd., Apt. 81B, Tarrytown, NY US 10591 Residence	
Residence	
Egypt	
Citizenship	
and the second s	
Same as above	
Post Office Address	•

IBM Docket No.: YOR920030379US1 Page 4

Thiruvilwamalai V. Raman	
Full Name of Fourth Joint Inventor	
Inventor's Signature	Date
2715 La Terrace Circle, San Jose, CA US 95123	
Residence	
US	
Citizenship	
same as above	
Post Office Address	
Wael Hamza	
Full Name of Fourth Joint Inventor	
الأتحا	05/07/2004
Inventor's Signature	Date
177 White Plains Rd., Apt. 81B, Tarrytown, NY US 10591	
Residence	
Egypt	
Citizenship	
same as above	
Post Office Address	



**Patent** 

Patent App. Ser. No. 10/695,979 IBM Docket No.: YOR920030379US1

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants: BAKIS et al.

Application Serial No.: 10/695,979

Filing Date: October 29, 2003

For: SYSTEMS AND METHODS FOR

**EXPRESSIVE TEXT-TO-SPEECH** 

Group Art Unit: 2641

Examiner: Not Yet Assigned

Statement of Added Inventor

Wael Hamza

Pursuant to 37 C.F.R. §1.48(a)(2)

IBM Docket No.: YOR920030379US1

(Attorney Docket No.: I01.101)

Buckley, Maschoff & Talwalkar LLC

Five Elm Street

New Canaan, CT 06840

(203) 972-0006

#### **CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 7, 2004.

Dated: May / 2004

Bv:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I, Wael Hamza, was inadvertently omitted from the Declaration filed with the abovereferenced patent application. The omission was the result of a clerical error and occurred without deceptive intent on my part.

Respectfully submitted,

May 7, 2004

Date

Wael Hamza

Inventor to be Added

Patent App. Ser. No. 10/695,979 IBM Docket No.: YOR920030379US1

<u>Patent</u>



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: BAKIS et al.

Application Serial No.: 10/695,979

Filing Date: October 29, 2003

For: SYSTEMS AND METHODS FOR

**EXPRESSIVE TEXT-TO-SPEECH** 

Group Art Unit: 2641

Examiner: Not Yet Assigned

Written Consent of Assignee

**International Business Machines Corporation** 

Pursuant to 37 C.F.R. §1.48(a)(5)

IBM Docket No.: YOR920030379US1

(Attorney Docket No.: I01.101)

Buckley, Maschoff & Talwalkar LLC

Five Elm Street

New Canaan, CT 06840

(203) 972-0006

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Alexandria, VA 22313-1450, on May

Dated: May / 9, 2004

7/00

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I, Lynne D. Anderson, Program Manager, USPTO Liaison, whom is authorized to act on behalf of the Assignee of record, International Business Machines Corporation, for the invention described in the above-referenced patent application as recorded in the United States Patent and Trademark Office as evidenced by the attached copy of the Assignment received by the USPTO on November 7, 2003, do hereby consent to the addition of Wael Hamza as the fifth-named inventor for the above-referenced patent application.

Respectfully submitted,

May 10, 2004

Date

Lynne D. Anderson

Program Manager, USPTO Liaison

Please type a plus sign (+) inside this box

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE e Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/695,979 Filing Date October 29, 2003 all correspondence after initial filing) **First Named Inventor** BAKIS, Raimo **Group Art Unit** 2641 **Examiner Name** Not yet assigned Total Number of Pages in This Submission YOR920030379US1 **IBM Docket Number** ENCLOSURES (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Reply Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition **Proprietary Information** After Final Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Declaration and Power of Attorney to add inventor Wael Other Enclosure(s) (please **Extension of Time Request** identify below): Request to Hamza Correct Inventorship, Statement of Added Inventor - Wael Hamza, Written Consent of Assignee with copy of previously **Express Abandonment Request** filed Assignment on 10/29/03. Terminal Disclaimer copy of the return receipt postcard, Letter of Authority and Information Disclosure Statement Request for Refund Acknowledgement Postcard. Certified Copy of Priority CD, Number of CD(s) Document(s) Response to Missing Parts/ Remarks Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Carson C.K. Fincham Individual name Signature May 19, 2004 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-May 19, 2004 1450 on this date: Type or printed name Jill Holme Date May 19, 2004 Signature

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Correspondent Name and Addr	ess Area Code and	Telephone Number (203)		
Name Kurt M. Maschoff			772 0001	
Address (line 1) BUCKLEY, MASCH	OFF TAI WAI KAD 2. AI	LICONILC		•
Address (line 2) 5 Elm Street	OFF, TALWALKAK, & AI	LLISON LLC		
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Address (line 3) New Canaan, CT 068	40			
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Form PTO-1619C CORDATION FORM COVER SHEET U.S. Department of Commerce Expires 06/30/99 CONTINUATION Patent and Trademark Office OMB 0651-0027 **PATENT** PATENTS ONLY Conveying Party(ies) ( ) Mark if additional names of conveying parties attached Enter additional Conveying Parties Name (line 1) ELLEN M. EIDE **Execution Date** Month Day Year Name (line 2) 10/21/2003 Name (line 1) THIRUVILWAMALAI V. RAMAN **Execution Date** Month Day Year Name (line 2) 10/23/2003 Name (line 1) **Execution Date** Month Day Year Name (line 2) **Receiving Party** ( ) Mark if additional names of receiving parties attached ( ) If document to be recorded is Enter additional Receiving Party(ies) as assignment and the receiving party is not Name (line 1) domiciles in the United States Name (line 2) and appointment of a domestic representative is attached. (Designation must be separate Address (line 1) document from Assignment.) Address (line 2) Address (line 3) City State/Country Zip Code Name (line 1) ( ) If document to be recorded is as assignment and the Name (line 2) receiving party is not domiciles in the United States and appointment of a domestic Address (line 1) representative is attached. (Designation must be separate document from Assignment.) Address (line 2) Address (line 3) City State/Country Zip Code Application Number(s) or Patent Number(s) ( ) Mark if additional numbers attached Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property). Patent Application Number(s) Patent Number(s)

Page 4

### Assignment

#### Whereas, we

Inventor and City	(1)	RaimoBakis	of Briarcliff Manor and State of NY
Inventor and City	(2)	AndrewAaron	of Ardsley and State of NY
Inventor and City	(3)	Ellen M. Eide	of Bedford and State of NY
Inventor and City	(4)	Thiruvilwamalai V. Raman	of San Jose and State of CA

have invented certain improvements in

#### Title

### SYSTEMS AND METHODS FOR EXPRESSIVE TEXT-TO-SPEECH

and executed, respectively, a United States patent application therefor on

Dates That Inventors Signed the Declaratio	(1)	2003;	, Oct 21,	(2)	, Oct 21, 2003;
n	(3)	Oct 21	, 2003;	(4)	, 2003;

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and

Page 5

assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

### Signed and sealed

City and Date	(1)	at Yorkham He on 2003	, Oct 21,	RaimoBakis	_ Signature
City and Date	(2)	at on 2003	, Oct 21,	AndrewAaron	Signature
City and Date	(3)	at Yorktown I	Heights, NY , 2003	MM Ride Ellen M. Eide	Signature
City and Date	(4)	at on	, 2003	Thiruvilwamalai V. Raman	Signature

City and Date	(1)	at on		, 2003	Raimo Bakis	Signature
Yorktown City and Date	Heights (2)	at on	OCt. 29	, 2003	Chilin Chi Andrew Aaron	Signature
City and Date	(3)	at on		, 2003	Ellen M. Eide	Signature
City and Date	(4)	at on		, 2003	Thiruvilwamalai V. Raman	Signature

Page 1

### Assignment

### Whereas, we

Inventor and City	(1)	Raimo Bakis	of Briarcliff Manor and State of NY
Inventor and City	(2)	Andrew Aeron	of Ardsley and State of NY
Inventor and City	(3)	Ellen M. Eide	of Bedford and State of NY
Inventor and City	(4)	Thiruvilwamalai V. Raman	of San Jose and State of CA

have invented certain improvements in

Title SYSTEMS AND METHODS FOR EXPRESSIVE TEXT-TO-SPEECH

and executed, respectively, a United States patent application therefor on

Dates That Inventors Signed the Declaration	(1) (3)	, 2003; , 2003;	(2) (4)	10/23	, 2003; , 2003;
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Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York, 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said applications to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

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NO.5453 F. /

IBM Docket No.: YOR9200379US1

City and Date	(1)	at on	, 2003	Raimo Bakis	Signature
City and Date	(2)	at on	, 2003	Andrew Aaron	Signature
City and Date	(3)	at on	, 2003	Ellen M. Eide	Signature
City and Date	(4)	at So	WJose, CA 10/23, 2003	Thiruvilwamalai V. Raman	Signature

Date: 10/29/2003

(37 C.F.R. § 1.10)

Express Mail No.: EL963887900US

Title: SYSTEMS AND METHODS FOR EXPRESSIVE TEXT-TO-SPEECH

Inventors: Bakis et al.

17510 U.S.PTO 10/695979

Enclosed herewith are:

§ Sheets ⊠ Formal ☐ Informal Drawings. Specification and Claims (31 pp.)

Declaration and Power of Attorney ⊠ Executed ☐ Unexecuted

Information Disclosure Statement, Fc#m PTO-1449 (2 pp.) and copies Assignment and Recordation Form Cover Sheet. 

of 9 non-patent literature cited references. Utility Patent Application Transmittal.  $\boxtimes \boxtimes$ 

Appointment of Associate Power of Attorney.

RECEIVED NOV 0 7 2003 Attv. Docket No.: YOR920030379US1



#### LETTER OF AUTHORITY

I, Gerald Rosenthal, Vice President, Intellectual Property and Licensing of International Business Machines Corporation (IBM), a New York corporation, do hereby delegate the authority to approve and execute documents on behalf of IBM relating to proceedings in the Patent, Trademark Registration or Copyright Offices servicing any country or region of the world, or to related appeal proceedings, including, but not limited to: petitions; powers of Attorney; authorizations; verification; nominations of representatives; declarations; documents relating to maintenance and defense of the resulting industrial property rights; assignments of rights to apply for and acquire patents and trademark registrations; and evidence of such assignments; requests for the registration of patents as available for licensing; reports of inventions and petitions for waiver of patent rights to any department or agency of the United States Government; and, assignments, licenses and other instruments confirmatory of Government rights in patents and inventions, to Lynne D. Anderson. Program Manager, Patent Office Liaison.

Date:

Gerald Rosenthal

Vice President, Intellectual Property & Licensing International Business Machines Corporation